

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: A.S. Hoffman et al. Attorney Docket No.: UWOTL119001  
Application No.: 09/755,701 Art Unit: 1614 / Confirmation No.: 3998  
Filed: January 5, 2001 Examiner: R.J. Henley, III  
Title: ENHANCED TRANSPORT USING MEMBRANE DISRUPTIVE AGENTS

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Seattle, Washington 98101

March 24, 2010

DIRECTOR – U.S. PATENT AND TRADEMARK OFFICE:

ATTENTION: Refund Section, Accounting Division, Office of Finance

I. Refund Request

This is a request for a refund with respect to the charge to Deposit Account No. 03-1740, shown on the statement dated March 2010 for the above-identified patent application. A copy of the pertinent pages of the monthly statement in which the referenced error occurs is included in this request.

II. Fees Charged for Which Refund Requested

	Amount of Fee Requested
2202 - Claims in excess of 20 (1@\$26 each)	26.00
<u>Total Refund Requested:</u>	<b>\$26.00</b>

III. Explanation of Why Contested Charge Is in Error

At the time the application was originally filed on January 5, 2001, the initial filing fee of \$355, multiple dependent claim fee of \$135, and additional claim fee in the amount of \$270 for 30 claims over 20, were paid (see attached Fee Transmittal and USPTO Fee Record Sheet). When the Amendment After Non-Final Rejection was filed on February 5, 2010, a total of 31 claims were submitted. As the original number of claims paid for was 50, no additional claim fee was due.

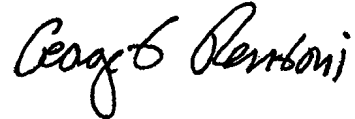
LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

IV. Manner of Refund

Please provide us with a refund by crediting Deposit Account No. 03-1740.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



George E. Renzoni, Ph.D.  
Registration No. 37,919  
Direct Dial No. 206.695.1755

GER:mjd

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100



**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

**Requested Statement Month:** March 2010  
**Deposit Account Number:** 031740  
**Name:** CHRISTENSEN O'CONNOR JOHNSON & KINDNESS  
**Attention:**  
**Street Address 1:** 2800 PACIFIC FIRST CENTRE  
**Street Address 2:** 1420 FIFTH AVENUE  
**City:** SEATTLE  
**State:** WA  
**Zip:** 98101  
**Country:** UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
03/01	15	11993433	VOPA130394	2681	-\$390.00	\$5,521.12
03/01	16	11993433	VOPA130394	2681	\$260.00	\$5,261.12
03/01	15499	29346951	34447AH	8007	\$20.00	\$5,241.12
03/01	15511	29346948	34447AH	8007	\$20.00	\$5,221.12
03/01	15521	29346944	34447AH	8007	\$20.00	\$5,201.12
03/01	15531	29346950	34447AH	8007	\$20.00	\$5,181.12
03/01	16005	60882821	UWOTL-1-33427 NLF	8007	\$20.00	\$5,161.12
03/01	16038	61169670	BECI134393/33185	8007	\$40.00	\$5,121.12
03/01	16059	12552961	BECI134393/33569	8007	\$40.00	\$5,081.12
03/03	18	12514308	BOEH133194	2615	\$26.00	\$5,055.12
03/08	700	12202138	33737 AH	8007	\$20.00	\$5,035.12
03/08	49	11337930	NAIS126739	1253	-\$1,050.00	\$6,085.12
03/09	10342	11771874	WEYE129835	1402	\$540.00	\$5,545.12
03/09	10681	11495170	AUST127719	1811	\$100.00	\$5,445.12
03/09	3	09755701	UWOTL119001	2202	\$26.00	\$5,419.12
03/10	9603	09801490	125530	1501	\$1,510.00	\$3,909.12
03/10	9807	12677296	LAMA134551	2631	\$165.00	\$3,744.12
03/10	9809	12677296	LAMA134551	2633	\$110.00	\$3,634.12
03/10	9808	12677296	LAMA134551	2642	\$215.00	\$3,419.12
03/11	8348	E-REPLENISHMENT		9203	-\$3,000.00	\$6,419.12
03/11	8352	11319763	DESS126658	1501	\$1,510.00	\$4,909.12
03/11	8353	11319763	DESS126658	1504	\$300.00	\$4,609.12
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$5,131.12	\$4,962.00	\$4,440.00	\$4,609.12	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete If Known**

Application Number	
Filing Date	January 5, 2001
First Named Inventor	Allan S. Hoffman
Examiner Name	
Group Art Unit	
Attorney Docket No.	UWS 105

TOTAL AMOUNT OF PAYMENT (\$760.00)

**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	01-2507
Deposit Account Name	Arnall Golden & Gregory, LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	480	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
50	-20	= 30 X 9.00	= 270.00
3	-3	= 0 X 0	= 0
Multiple Dependent		135.0	135.00

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$405.00)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Name (Print/Type) Robert A. Hodges

Registration No. 41,074  
(Attorney/Agent)**Complete (if applicable)**

Telephone 404-873-8796

Signature

Date January 5, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

/10/2001 HNDOR1 00000034 09755701

FC:201	355.00	OP
FC:203	270.00	OP
FC:204	135.00	OP

/12/2001 CVORACHA 00000010 012507 09755701

FC:203	810.00	CH
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